

Audit Actions Status - based on Management reports - as at 23/05/13				Open Red & Amber		Comments	Open Red & Amber Actions			Planned Implementation date		
Department	Audit Review	Main Report Finalised	Assurance level	R	A		On target	Revised Dates agreed	Revised to be agreed	<3 mths	3 - 6 mths	> 6 mths
Open Spaces	Chingford Golf Course	Aug-10	Amber	0	1	The action is "In Progress" and the revised completion date remains "October 2013". The status update is " Following the report to the Members of the Epping Forest and Commons Committee in February, agreeing to maintain arrangements until October, a Recovery Plan report will be considered at the July meeting. This will include a proposal to use the Professional Golfers Association (PGA) contract for managing the course. It is now less likely that the IS online booking system will be available by October for this golf use. However, an alternative arrangement, involving Jonas software linked to the Epping Forest web site, is being progressed as an alternative too.		1			1	
Chamberlain's Department	Pensions - Corporate Responsibility	Jun-11	Amber	0	2	Implementation of the two amber priority recommendations is linked to the requirements of the Hutton report on public sector pension reform and the resulting legislation (Public Service Pensions Act 2013) which received Royal Assent on the 25th April 2013. The bi-annual Internal Audit review of the Pension Scheme is scheduled for the quarter ending 30th September 2013. The implementation of the changes required by the new legislation will be included within this audit review, which will include a re-examination of these two recommendations.	2					2
Town Clerk's	Declarations	Jun-11	Green		1	Fully implementation of the enhanced guidance for staff of declarations is dependant on the roll-out of the revised staff code of conduct. A further report with suggested revised wording for para 10.2 will go back to Establishment Committee on 23rd June. Once that's agreed the roll-out can proceed.		1		1		
CLSG	Fee Income	Feb-12	Green	0	1	A revised implementation date of 30/06/13 has been provided for the outstanding amber priority recommendation to reconcile the income system to the banking system, owing to its links with year-end processes and the need to obtain final agreement from the Financial Services Division on agreed procedure		1		1		
GSMD	ICT strategy, security and operations	Apr-12	Amber	0	1	The client advises that implementation of one amber priority recommendation relating to encryption arrangements is in progress. It is understood that Sophos "Govcrypt" is being trialled and rolled out for portable devices, having been implemented already for non portable devices.		1		1		
CLS	Petty cash, CDT safe and inventory	Jun-12	Green	0	1	Following a successful trial the School has purchased School Asset Manager software and is in the process of entering all of its assets. It is hoped to complete this by 31 August 2013. The new system will provide appropriate procedures for future fixed asset disposals.		1			1	
Markets and Consumer Protection/DBE	Markets Car Parks	Apr-12	Green	0	1	One amber priority recommendation is outstanding in respect of addressing the poor quality of management information available from the car park barrier system at Smithfield. The barrier equipment replacement is included in the procurement of the off-street car park management contract. The current contract (with APCOA) has been extended until 30 November 2014 (ratified at Court of Common Council on 16 May 2013). Therefore the replacement equipment will not now take place until 2014/15 when the new off street car park contract is let. Responsibility for the contract is with Directorate of Built Environment		1				1

Audit Actions Status - based on Management reports - as at 23/05/13				Open Red & Amber			Open Red & Amber Actions			Planned Implementation date		
DCCS	Affordable Housing	Sep-12	Amber	0	1	One amber priority recommendation is outstanding in respect of inclusion of the on-going revenue cost of additional housing units, plus estimates for rental income, within the 30-year Housing Business Plan. The strategy is still being developed. This is largely due to additional research work necessary to inform the future energy efficiency property investment works we will choose to carry out on our existing stock. This has been prompted by the work being undertaken on the Golden Lane estate where this research has recently begun. The results of this will inform the strategy investment decisions. Updated implementation timescale of October 2013 has been agreed..		1			1	
Culture, Heritage and Libraries	Tower Bridge Penny Press	Apr-13	Green	0	1	Two companies have visited the area and following further discussions an appropriate solution has been identified to improve physical security . An order has been placed with ITAB and installation is scheduled to take place w/c 10 <sup>th</sup> June.	1			1		
DCCS	Individual Budgets	Jan-13	Amber	0	1	One amber priority recommendation outstanding - to be implemented by August 2013 in line with the use of a new case management system developed for the social care team. All other recommendations have been implemented subject to a formal follow-up.		1		1		
DCCS	Responsive Repairs	Mar-13	Amber	0	4	Three amber recommendations originally targeted for implementation by April 2013 will now be completed by the end of June 2013. These relate to undertaking and monitoring post completion inspection sampling and consideration of repairs history and trends. One further amber recommendation to consider planned maintenance prior to initiating responsive repairs jobs, although partially implemented will not be fully completed until September 2013 when new software is introduced.		4		3	1	
<b>Total</b>				0	15		3	12	0	8	4	3